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AF/1647  
PTO/SB/21 (08-03)

## TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

		Application Number	08/971,172
		Filing Date	November 14, 1997
		First Named Inventor	Goodman, Corey S
		Art Unit	1647
		Examiner Name	S. Turner
Total Number of Pages in This Submission	8	Attorney Docket Number	18941H-002910US

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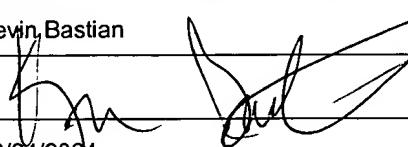
**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address (1 pg.)	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Return Postcard; Statement Under 37 CFR 3.73(b) attaching copy of recorded Assignment as exhibit (6 pgs).
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

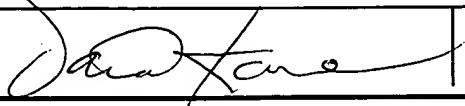
The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	Townsend and Townsend and Crew LLP Kevin Bastian	Reg. No. 34,774
Signature		
Date	02/24/2004	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Dana Kane		
Signature		Date	02/24/2004

60148986 v1



**REVOCATION OF POWER OF  
ATTORNEY WITH  
NEW POWER OF ATTORNEY  
AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	08/971,172
Filing Date	November 14, 1997
First Named Inventor	Goodman, Corey S
Art Unit	1647
Examiner Name	S. Turner
Attorney Docket Number	18941H-002910US

I hereby revoke all previous powers of attorney given in the above-identified application:

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A Power of Attorney is submitted herewith.

OR

MAR 02 2004

I hereby appoint the practitioners associated with the Customer Number:

**20350**

Please change the correspondence address for the above-identified application to:

The address associated with  
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**20350**

OR

Firm or  
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I am the:

- Applicant/Inventor.  
 Assignee of record of the entire interest. See 37 CFR 3.71.  
*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)*

**SIGNATURE of Applicant or Assignee of Record**

Name	<i>Corey Minami</i>		
Signature	<i>Corey M.</i>		
Date	2/23/04	Telephone	(510) 7643-7201

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of \_\_\_\_\_ forms are submitted.



PTO/SB/96 (08-03)

Attorney Docket No. 18941H-002910US  
Client Ref. No. B98-006-2**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Corey S Goodman, et al.Application No./Patent No.: 08/971,172 Filed/Issue Date: November 14, 1997Entitled: A NOVEL FAMILY OF POLYPEPTIDES AND NUCLEIC ACIDSThe Regents of the University of California, a University

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest; or
2.  an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is       %

in the patent application/patent identified above by virtue of either:

A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 9036, Frame 0503, or for which a copy thereof is attached.

OR

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
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(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2/23/04

Date

(510) 643-7201

Telephone number

CAROL MINAHL

Typed or printed name

Carol M.

Signature

DIRECTOR, OTR

Title



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MAY 14, 1998

PTAS

RICHARD ARON OSMAN  
75 DENISE DRIVE  
HILLBOROUGH, CA 94010



\*100667696A\*

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RECORDATION DATE: 03/13/1998

REEL/FRAME: 9036/0503

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:

GOODMAN, COREY S.

DOC DATE: 03/02/1998

ASSIGNEE:

KIDD, THOMAS

DOC DATE: 03/02/1998

ASSIGNEE:

MITCHELL, KEVIN J.

DOC DATE: 03/02/1998

ASSIGNEE:

TEAR, GUY

DOC DATE: 03/09/1998

ASSIGNEE:

CALIFORNIA, UNIVERSITY OF, THE, REGENTS OF  
300 LAKESIDE DRIVE, 22ND FLOOR  
OAKLAND, CALIFORNIA 94612

SERIAL NUMBER: 08971172

FILING DATE: 11/14/1997

PATENT NUMBER:

ISSUE DATE:

*Exhibit to Statement  
under 37 CFR 3.73 (6)*

9036/0503 PAGE 2

DOROTHY RILEY, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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U. S. P T O

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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ET

Attorney Docket No. UCB 98-006

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Corey S. Goodman, Thomas Kidd, Kevin J. Mitchell and Guy Tear

Additional name(s) of conveying party(ies) attached?  Yes  No

## 3. Nature of conveyance:

 Assignment  
 Security Agreement Merger  
 Change of Name

Other: \_\_\_\_\_

Execution Date: March 2 and 9, 1998

## 2. Name and address of receiving party(ies):

Name: The Regents of the University of California  
Address: 300 Lakeside Drive, 22 Floor  
Oakland CA 94612Additional name(s) & address(es) attached?  Yes  No

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## 4. Application number(s) or patent number(s): 08/971,172

If this document is being filed together with a new application, the execution date of the application is:

## A. Patent Application No.(s)

08/971,172 filed November 14, 1997

## B. Patent No.(s)

Additional numbers attached?  Yes  No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Richard Aron Osman, Ph.D.Address: 75 Denise DriveHillsborough, CA 94010

## 6. Total number of applications and patents involved: 1 \_\_\_\_\_

## 7. Total fee (37 CFR 3.41): \$40.00

 Enclosed Authorized to be charged to deposit account, if necessary

## 8. Deposit account number:

19-0750

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Richard Aron Osman, Ph.D.  
Name of Person Signing

Signature

SKY 48  
Date

Total number of pages including cover sheet: 3

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ASSIGNMENT

U.C. Case No. B98-006

For good and valuable consideration, the receipt of which is hereby acknowledged,  
ASSIGNOR(S).

1. Corey S. Goodman
2. Thomas Kidd
3. Kevin J. Mitchell
4. Guy Tear

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 300 Lakeside Drive, 22nd Fl., Oakland, CA 94612-3550, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, and any and all improvements which are disclosed in the invention entitled:

*Robo: A Novel Family of Polypeptides and Nucleic Acids*

and which is found in

(a) X U.S. application serial no. 08/971,172, filed on November 14, 1997

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said Invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE. The Regents of the University of California.

executed this

Signature of Inventor(s)

executed this

Signature of Inventor(s)

2 day of March 1998



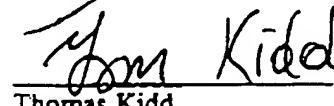
Corey S. Goodman

2 day of March, 1998



Kevin J. Mitchell

2 day of Mar, 1998



Thomas Kidd

2 day of March, 1998

Guy Tear

ASSIGNMENT

U.C. Case No. B98-006

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ASSIGNOR(S),

1. Corey S. Goodman
2. Thomas Kidd
3. Kevin J. Mitchell
4. Guy Tear

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 300 Lakeside Drive, 22nd Fl., Oakland, CA 94612-3550, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, and any and all improvements which are disclosed in the invention entitled:

*Robo: A Novel Family of Polypeptides and Nucleic Acids*

and which is found in

(a)  U.S. application serial no. 08/971,172, filed on November 14, 1997

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said Invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

executed this

Signature of Inventor(s)

\_\_\_\_ day of . 1998

Corey S. Goodman

\_\_\_\_ day of . 1998

Kevin J. Mitchell

\_\_\_\_ day of . 1998

Thomas Kidd

09 day of March, 1998

Guy Tear